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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ATTORNEY DOCKET NO. 054270/0122

Applicant: Michael Herman KOCH et al.

Title: FABRICATION OF ZINC OXIDE FILMS ON NON-PLANAR
SUBSTRATES AND THE USE THEREOF

Appl. No.: 09/403,505

Filing Date: 02/01/2000

Examiner: Unassigned

Art Unit: 5611

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B.

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INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Submitted herewith on Form PTO-1449 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56. A copy of each listed document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a prima facie prior art reference against the claims of the present application.

**CONCISE EXPLANATION OF
RELEVANCE OF EACH DOCUMENT**

Documents A1 through A3 came to Applicants' attention during a search of the corresponding International application. A copy of the International search report setting forth the portion of each reference considered relevant by the examiner is attached.

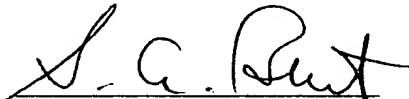
The listed documents are being submitted in compliance with 37 C.F.R. §1.97(b), within three (3) months of the filing date.

Applicants respectfully request that the listed documents be considered by the Examiner and formally be made of record in the present application and that an initialed copy of Form PTO-1449 be returned in accordance with MPEP §609.

Respectfully submitted,

March 6, 2000
Date

FOLEY & LARDNER
Washington Harbour
3000 K Street, N.W., Suite 500
Washington, D.C. 20007-5109
Telephone: (202) 672-5404
Facsimile: (202) 672-5399


Stephen A. Bent
Attorney for Applicant
Registration No. 29,768

Form PTO-1449
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09/403,505

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

APPLICANT

Michael Herman Koch et al.

FILING DATE

2/01/2000

GROUP ART UNIT

5611

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
BT	A1	4,790,625	12/88	BISWAS et al.	350	96.33	
1	A2	4,575,463	03/86	BISWAS et al.	427	163	
BT	A3	4,592,932	06/86	BISWAS et al.	427	163	

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION	
							YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

BT	A4	KY et al; "400 MHz-Bandwidth All-Fiber Phase Modulators with ZnO Coating on Standard Telecommunication Fiber; IEEE Photonics Technology Letters; Vol. 8, No. 5; May 1996; pp. 629-631.					

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EXAMINER

B. Talbot

DATE CONSIDERED

7/28/00

- * EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include any copy of this form with next communication to applicant.